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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/731,300	12/08/2003	Jesse R. Miller	14140US02	3626
Maland D	7590 01/05/2007		EXAM	IINER
Michael B. Harlin McAndrews, Held & Malloy, Ltd.			CORBETT, JOHN M	
34th Floor 500 West M	ladison Street		ART UNIT	PAPER NUMBER
Chicago, IL			2882	
SHORTENED STATI	ITORY PERIOD OF RESPONSE	MAIL DATE	DELIVERY MODE	
	MONTHS	01/05/2007	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

	Application No.	Applicant(s)	
	10/731,300	MILLER ET AL.	
Office Action Summary	Examiner	Art Unit	
	John M. Corbett	2882	
The MAILING DATE of this communicati Period for Reply	on appears on the cover sheet w	ith the correspondence address	
A SHORTENED STATUTORY PERIOD FOR WHICHEVER IS LONGER, FROM THE MAILI  - Extensions of time may be available under the provisions of 37 after SIX (6) MONTHS from the mailing date of this communica  - If NO period for reply is specified above, the maximum statuton  - Failure to reply within the set or extended period for reply will, be Any reply received by the Office later than three months after the earned patent term adjustment. See 37 CFR 1.704(b).	NG DATE OF THIS COMMUNI CFR 1.136(a). In no event, however, may a tion. If period will apply and will expire SIX (6) MON ty statute, cause the application to become Al	CATION.  reply be timely filed  ITHS from the mailing date of this communication  BANDONED (35 U.S.C. § 133).	
Status			
1)⊠ Responsive to communication(s) filed or	10 October 2006	,	•
	☐ This action is non-final.		
3) Since this application is in condition for a	_	ers, prosecution as to the merits	is
closed in accordance with the practice u	·	· ·	
Disposition of Claims			
4)⊠ Claim(s) <u>7-16</u> is/are pending in the appli	cation		
4a) Of the above claim(s) is/are w			
5) Claim(s) is/are allowed.			
6) Claim(s) 7-16 is/are rejected.			
7) Claim(s) is/are objected to			
8) Claim(s) are subject to restriction	and/or election requirement.	•	
Application Papers			
9) The specification is objected to by the Ex	caminer.		
10)⊠ The drawing(s) filed on 15 February 200		objected to by the Examiner.	
Applicant may not request that any objection	to the drawing(s) be held in abeya	nce. See 37 CFR 1.85(a).	
Replacement drawing sheet(s) including the	correction is required if the drawing	(s) is objected to. See 37 CFR 1.121	(d).
11) The oath or declaration is objected to by	the Examiner. Note the attache	d Office Action or form PTO-152.	
Priority under 35 U.S.C. § 119			
12) Acknowledgment is made of a claim for f a) All b) Some * c) None of:	oreign priority under 35 U.S.C.	§ 119(a)-(d) or (f).	
1. Certified copies of the priority doc	uments have been received.		
2. Certified copies of the priority doc	uments have been received in A	application No	
3. Copies of the certified copies of the	ne priority documents have beer	received in this National Stage	
application from the International	Bureau (PCT Rule 17.2(a)).		
* See the attached detailed Office action fo	r a list of the certified copies not	received.	
Attachment(s)			
1) Notice of References Cited (PTO-892)		Summary (PTO-413)	
<ul> <li>2) Notice of Draftsperson's Patent Drawing Review (PTO-93)</li> <li>Information Disclosure Statement(s) (PTO/SB/08)</li> </ul>		s)/Mail Date nformal Patent Application	
Paper No(s)/Mail Date	6) 🔲 Other:		

### **DETAILED ACTION**

### Continued Examination Under 37 CFR 1.114

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 10 October 2006 has been entered.

## Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 2. Claims 7 and 12 are rejected under 35 U.S.C. 102(b) as being anticipated by Hodges et al. (4,120,584).

With respect to claim 7, Hodges et al. teaches an apparatus comprising multiple surfaces (Figure 3, at least the top three surfaces with wire) wherein the surfaces are oriented at nonzero angles relative to one another (Figure 1).

Note: With regards to the phrase "diffraction analysis", a claim containing a "recitation with respect to the manner in which a claimed apparatus is intended to be employed does not differentiate the claimed apparatus from a prior art apparatus" if the prior art apparatus teaches

all of the <u>structural</u> limitations of the claim. Therefore, the above phrase has not been given patentable weight. See MPEP 2114.

With respect to claim 12, Hodges et al. further teaches a method of x-ray diffraction analysis comprising the step of disposing samples in the apparatus (Col. 3, lines 54-58), and analyzing the samples (Col. 1, lines 6-7 and 19).

3. Claims 7-9 and 11 are rejected under 35 U.S.C. 102(b) as being anticipated by Gerlach et al. (4,033,904).

With respect to claim 7, Gerlach et al. teaches an apparatus comprising multiple surfaces (102) wherein the surfaces are oriented at nonzero angles relative to one another (Figures 1, 2 and 3).

Note: With regards to the phrase "diffraction analysis", a claim containing a "recitation with respect to the manner in which a claimed apparatus is intended to be employed does not differentiate the claimed apparatus from a prior art apparatus" if the prior art apparatus teaches all of the <u>structural</u> limitations of the claim. Therefore, the above phrase has not been given patentable weight. See MPEP 2114.

With respect to claim 8, Gerlach et al. further teaches wherein there are five surfaces (102).

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With respect to claim 9, Gerlach et al. further teaches wherein the nonzero angles are each individually between 0.1 degrees and 20 degrees (Figure 1).

With respect to claim 11, Gerlach et al. teaches an apparatus comprising a frame (10) having multiple surfaces (102) with removable individual apparatus (20) on each surface (Figure 1).

Note: With regards to the phrase "diffraction analysis", a claim containing a "recitation with respect to the manner in which a claimed apparatus is intended to be employed does not differentiate the claimed apparatus from a prior art apparatus" if the prior art apparatus teaches all of the <u>structural</u> limitations of the claim. Therefore, the above phrase has not been given patentable weight. See MPEP 2114.

4. Claims 10 and 15 are rejected under 35 U.S.C. 102(b) as being anticipated by Mack (3,148,275).

With respect to claim 10, Mack teaches an apparatus comprising a curved surface (Col. 2, lines 50-62, and Figures 2-3).

Note: With regards to the phrase "diffraction analysis", a claim containing a "recitation with respect to the manner in which a claimed apparatus is intended to be employed does not differentiate the claimed apparatus from a prior art apparatus" if the prior art apparatus teaches all of the <u>structural</u> limitations of the claim. Therefore, the above phrase has not been given patentable weight. See MPEP 2114.

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Note: The phrase "capable of holding two or more samples simultaneously" is not a positive limitation but only requires the ability to so perform. It does not constitute a limitation in any patentable sense. Furthermore, the apparatus of Mack comprising a curved surface is capable of holding two or more samples simultaneously.

With respect to claim 15, Mack further teaches a method of x-ray diffraction analysis comprising the step of disposing samples in the apparatus (Col. 2, lines 11-14), and analyzing the samples (Col. 2, lines 28-44).

### Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

- (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 5. Claim 9 is rejected under 35 U.S.C. 103(a) as being unpatentable over Hodges et al.

With respect to claim 9, Hodges et al. further teaches wherein the nonzero angles are each individually greater than 0 degrees and less than 90 degrees (Figure 3, at least the top three surfaces with wire).

Hodges et al. fails to explicitly teach wherein the nonzero angles are each individually between 0.1 degrees and 20 degrees.

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It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the apparatus of Hodges et al. to include the angles between 0.1 degrees and 20 degrees, since such a modification would have only involved a mere change in working ranges and shape which involves only routine skill in the art. One would have been motivated to make such a modification to keep the sample from falling off the surface and to more easily hold the sample to the surface.

6. Claims 12-14 and 16 are rejected under 35 U.S.C. 103(a) as being unpatentable over Gerlach et al. as applied to claims 7, 8, 9 and 11 respectively above, and further in view of Hodges et al. (4,120,584).

With respect to claims 12-14 and 16, Gerlach et al. discloses the apparatus as recited above. Gerlach et al. further teaches the step of disposing the samples in the apparatus (Col. 2, lines 37-38) and using x-rays for analysis (Col. 4, lines 12-17).

Gerlach et al. fails to explicitly teach a method of x-ray diffraction analysis.

Hodges et al. teaches a method of x-ray diffraction analysis (Col. 1, lines 17-19).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the method of Gerlach et al. to include the x-ray diffraction analysis of Hodges et al., since these instrumental methods of analysis were art-recognized equivalents at the time the invention was made, such that one of ordinary skill in the art would have found it obvious to substitute as shown by Hodges et al. (Col. 1, lines 6-19). A person would have been

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motivated to make such a modification to obtain more complete or better analysis of the surface of the sample (Col. 1, lines 6-19) as implied by Hodges et al.

## Response to Arguments

7. Applicant's arguments with respect to claims 7-16 have been considered but are moot in view of the new ground(s) of rejection. Applicant's arguments filed 10 October 2006 have been fully considered but they are not persuasive.

With regards to Hodges et al., the Applicant argues that the prior art does not disclose an apparatus having multiple diffraction analysis surfaces oriented at nonzero angles. Regardless of whether this is the case or not, a claim containing a "recitation with respect to the manner in which a claimed apparatus is intended to be employed does not differentiate the claimed apparatus from a prior art apparatus" if the prior art apparatus teaches all of the <u>structural</u> limitations of the claim. See MPEP 2114. Therefore, "diffraction analysis" has not been given patentable weight. In conclusion, Applicants' arguments are not persuasive, and claim 7 is rejected as indicated above.

With regards to Mack, the Applicant argues that the prior art "does not disclose an x-ray diffraction sample holder comprising a curved diffraction analysis surface capable of holding two or more samples simultaneously." Regardless of whether this is the case or not, the phrase "capable of holding two or more samples simultaneously" is not a positive limitation but only requires the ability to so perform. It does not constitute a limitation in any patentable sense. Furthermore, the apparatus of Mack comprising a curved surface is capable of holding two or more samples simultaneously. Therefore, Applicant's arguments are not persuasive, and claim 10 is rejected as indicated above.

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Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to John M. Corbett whose telephone number is (571) 272-8284. The examiner can normally be reached on M-F 8 AM - 4:30 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Edward J. Glick can be reached on (571) 272-2490. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

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